

LONG TERM CARE SURVEY

| SURVEY AREA   | OBSERVATION | INTERVIEWING  | RECORD REVIEW   | EVALUATION FACTORS  | CROSS REFERENCE                           |
|---|-------------|---|---|---|---|
| <p>E. Financial Affairs</p> <p>F72-78</p> <p>SNF 405.1121(k)(6)</p> <p>405.1121(m)</p> <p>ICF 442.311(e)</p> <p>442.320</p> |             | <p><u>Ask Residents:</u></p> <ul style="list-style-type: none"> <li>- Are you able to take care of your own financial affairs?</li> <li>- Does the facility keep some money for you that you can have when you request it?</li> <li>- When you ask for this money, how quickly do you get it?</li> <li>- Do you know the amount of money you have available at this time?</li> <li>- If the facility pays bills for you do they periodically provide an itemized listing of the transactions they have made?</li> <li>- When did you receive the last itemized statement?</li> <li>- Are you comfortable that your funds are taken care of correctly?</li> <li>- If you deposit money or valuables with the facility, do you receive a receipt for this deposit?</li> <li>- Are you or your family able to review your financial records when you request to do so?</li> <li>- Have you ever had money or anything else stolen? If so, what was done about it?</li> </ul> | <p>A copy of the statement should be in the residents financial record and given to the resident at least quarterly.</p> <p>Receipts, account logs showing deposits/withdrawals, authorization/reasons for withdrawals, and interest earned should be reviewed. If resident indicates there may be a problem, an in-depth interview should be conducted.</p> <p>Resident records indicate separate financial records from facility records.</p> | <p>Residents should have reasonable access to their funds (may not be available at 2 A.M.) and should have at least a quarterly accounting of their funds.</p> <p>If questions arise they should be resolved.</p> <p>Personal possessions and funds received from the residents should be protected from theft and other loss. If losses do occur there should be:</p> <ol style="list-style-type: none"> <li>1. a procedure which is implemented to investigate the loss, and recurrence.</li> <li>2. a plan to prevent Resident funds must not be appropriated for facility furnishings, linen direct care supplies, etc</li> </ol> | <p>Social Services</p> <p>405.1130(a)</p> |